

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

DAVID B. KURTZ,

Plaintiff,

v.

MICHAEL J. ASTRUE, Commissioner  
of the Social Security Administration,

Defendant.

CASE NO. 11cv5403-RBL-JRC

REPORT AND  
RECOMMENDATION ON  
PLAINTIFF'S COMPLAINT

Noting Date: November 23, 2012

This matter has been referred to United States Magistrate Judge J. Richard Creatura pursuant to 28 U.S.C. § 636(b)(1) and Local Magistrate Judge Rule MJR 4(a)(4), and as authorized by *Mathews, Secretary of H.E.W. v. Weber*, 423 U.S. 261, 271-72 (1976). This matter has been fully briefed (*see* ECF Nos. 20, 25, 26).

The ALJ gave a detailed summary of the facts and conflicting evidence and explained her conclusions. She appropriately relied in part on plaintiff's treating physician's statement that did not support plaintiff's disability application, and also relied

1 on plaintiff's statements about his functional abilities. Regarding mental impairments, the  
2 ALJ found that plaintiff described being challenged by his obsessive compulsive disorder  
3 ("OCD") at his job as a disability caseworker, yet he managed to hold down this job for  
4 eighteen years before he quit and he did not demonstrate that his long-term employer was  
5 concerned about his performance and he did not demonstrate a worsening of his  
6 symptoms.

7 The ALJ's decision is without harmful legal error and is supported by substantial  
8 evidence in the record as a whole. Therefore, this matter should be affirmed pursuant to  
9 sentence four of 42 U.S.C. § 405(g).

#### 10 BACKGROUND

11  
12 Plaintiff, DAVID B. KURTZ, was fifty-two years old on his alleged disability  
13 onset date of December 7, 2006 (*see* Tr. 144). He worked eighteen years for Washington  
14 County as a disability caseworker for developmentally disabled children and their  
15 families (*see* Tr. 379). Plaintiff quit his employment after being placed on medical leave  
16 in February, 2007, allegedly due to a worsening of his conditions (*see id.*).

#### 17 PROCEDURAL HISTORY

18 Plaintiff protectively filed a Social Security application for a period of disability  
19 and disability insurance benefits on February 14, 2007 (Tr. 144-45). His application was  
20 denied initially and following reconsideration (Tr. 105-08, 115-17). Plaintiff's requested  
21 hearing was held before Administrative Law Judge Catherine R. Lazuran ("the ALJ") on  
22 January 26, 2010 (Tr. 43-100). On March 26, 2010, the ALJ issued a written decision in  
23 which she concluded that plaintiff was not disabled pursuant to the Social Security Act  
24

(Tr. 24-37). On April 5, 2011, the Appeals Council denied plaintiff's request for review, making the written decision by the ALJ the final agency decision subject to judicial review (Tr. 1-6). *See* 20 C.F.R. § 404.981.

On May 26, 2011, plaintiff attached his proposed complaint to his motion to proceed *in forma pauperis* (*see* ECF Nos. 1, 6). Defendant filed the sealed administrative record regarding this matter ("Tr.") on January 6, 2012 (*see* ECF Nos. 13, 15).

In his Opening Brief, plaintiff challenges the ALJ's evaluation of (1) his credibility and testimony; (2) the medical evidence; (3) his residual functional capacity ("RFC"); and (4) whether or not his chronic pain syndrome was a severe impairment (*see* ECF No. 20, p. 11).

#### STANDARD OF REVIEW

Plaintiff bears the burden of proving disability within the meaning of the Social Security Act (hereinafter "the Act"); although the burden shifts to the Commissioner on the fifth and final step of the sequential disability evaluation process. *Meanel v. Apfel*, 172 F.3d 1111, 1113 (9th Cir. 1999); *see also Johnson v. Shalala*, 60 F.3d 1428, 1432 (9th Cir. 1995); *Bowen v. Yuckert*, 482 U.S. 137, 140, 146 n. 5 (1987). The Act defines disability as the "inability to engage in any substantial gainful activity" due to a physical or mental impairment "which can be expected to result in death or which has lasted, or can be expected to last for a continuous period of not less than twelve months." 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A). Plaintiff is disabled under the Act only if plaintiff's impairments are of such severity that plaintiff is unable to do previous work, and cannot, considering the plaintiff's age, education, and work experience, engage in any other

1 substantial gainful activity existing in the national economy. 42 U.S.C. §§ 423(d)(2)(A),  
2 1382c(a)(3)(B); *see also Tackett v. Apfel*, 180 F.3d 1094, 1098-99 (9th Cir. 1999).

3 Pursuant to 42 U.S.C. § 405(g), this Court may set aside the Commissioner's  
4 denial of social security benefits if the ALJ's findings are based on legal error or not  
5 supported by substantial evidence in the record as a whole. *Bayliss v. Barnhart*, 427 F.3d  
6 1211, 1214 n.1 (9th Cir. 2005) (*citing Tidwell v. Apfel*, 161 F.3d 599, 601 (9th Cir.  
7 1999)). "Substantial evidence" is more than a scintilla, less than a preponderance, and is  
8 such "relevant evidence as a reasonable mind might accept as adequate to support a  
9 conclusion." *Magallanes v. Bowen*, 881 F.2d 747, 750 (9th Cir. 1989) (*quoting Davis v.*  
10 *Heckler*, 868 F.2d 323, 325-26 (9th Cir. 1989)); *see also Richardson v. Perales*, 402 U.S.  
11 389, 401 (1971). Regarding the question of whether or not substantial evidence supports  
12 the findings by the ALJ, the Court should "review the administrative record as a whole,  
13 weighing both the evidence that supports and that which detracts from the ALJ's  
14 conclusion." *Sandgathe v. Chater*, 108 F.3d 978, 980 (1996) (*per curiam*) (*quoting*  
15 *Andrews, supra*, 53 F.3d at 1039). In addition, the Court "must independently determine  
16 whether the Commissioner's decision is (1) free of legal error and (2) is supported by  
17 substantial evidence." *See Bruce v. Astrue*, 557 F.3d 1113, 1115 (9th Cir. 2006) (*citing*  
18 *Moore v. Comm'r of the Soc. Sec. Admin.*, 278 F.3d 920, 924 (9th Cir. 2002)); *Smolen v.*  
19 *Chater*, 80 F.3d 1273, 1279 (9th Cir. 1996).

20  
21 According to the Ninth Circuit, "[l]ong-standing principles of administrative law  
22 require us to review the ALJ's decision based on the reasoning and actual findings  
23 offered by the ALJ - - not *post hoc* rationalizations that attempt to intuit what the  
24

1 adjudicator may have been thinking.” *Bray v. Comm’r of SSA*, 554 F.3d 1219, 1226-27  
 2 (9th Cir. 2009) (citing *SEC v. Chenery Corp.*, 332 U.S. 194, 196 (1947) (other citation  
 3 omitted)); *see also Molina v. Astrue*, 2012 U.S. App. LEXIS 6570 at \*42 (9th Cir. April  
 4 2, 2012) (Dock. No. 10-16578); *Stout v. Commissioner of Soc. Sec.*, 454 F.3d 1050, 1054  
 5 (9th Cir. 2006) (“we cannot affirm the decision of an agency on a ground that the agency  
 6 did not invoke in making its decision”) (citations omitted). In the context of social  
 7 security appeals, legal errors committed by the ALJ may be considered harmless where  
 8 the error is irrelevant to the ultimate disability conclusion when considering the record as  
 9 a whole. *Molina, supra*, 2012 U.S. App. LEXIS 6570 at \*24-\*26, \*32-\*36, \*45-\*46; *see*  
 10 *also* 28 U.S.C. § 2111; *Shinsheki v. Sanders*, 556 U.S. 396, 407 (2009); *Stout, supra*, 454  
 11 F.3d at 1054-55.

## 13 DISCUSSION

### 14 **1. The ALJ evaluated plaintiff’s credibility and testimony properly.**

15 If the medical evidence in the record is not conclusive, sole responsibility for  
 16 resolving conflicting testimony and questions of credibility lies with the ALJ. *Sample v.*  
 17 *Schweiker*, 694 F.2d 639, 642 (9th Cir. 1999); *Waters v. Gardner*, 452 F.2d 855, 858 n.7  
 18 (9th Cir. 1971); (*Calhoun v. Bailar*, 626 F.2d 145, 150 (9th Cir. 1980). An ALJ is not  
 19 “required to believe every allegation of disabling pain” or other non-exertional  
 20 impairment. *Fair v. Bowen*, 885 F.2d 597, 603 (9th Cir. 1989) (citing 42 U.S.C. §  
 21 423(d)(5)(A)). Even if a claimant “has an ailment reasonably expected to produce *some*  
 22 pain; many medical conditions produce pain not severe enough to preclude gainful  
 23 employment.” *Fair, supra*, 885 F.2d at 603. In addition, the ALJ may “draw inferences  
 24

1 logically flowing from the evidence.” *Sample, supra*, 694 F.2d at 642 (citing *Beane v.*  
2 *Richardson*, 457 F.2d 758 (9th Cir. 1972); *Wade v. Harris*, 509 F. Supp. 19, 20 (N.D.  
3 Cal. 1980)).

4 Nevertheless, the ALJ’s credibility determinations “must be supported by specific,  
5 cogent reasons.” *Reddick v. Chater*, 157 F.3d 715, 722 (9th Cir. 1998) (citation omitted).

6 The ALJ may consider “ordinary techniques of credibility evaluation,” including the  
7 claimant’s reputation for truthfulness and inconsistencies in testimony, and may also  
8 consider a claimant’s daily activities, and “unexplained or inadequately explained failure  
9 to seek treatment or to follow a prescribed course of treatment.” *Smolen, supra*, 80 F.3d  
10 at 1284; *see also Verduzco v. Apfel*, 188 F.3d 1087, 1090 (9th Cir. 1999) (reliance on  
11 inconsistent statements concerning drug use proper).

13 The determination of whether or not to accept a claimant’s testimony regarding  
14 subjective symptoms requires a two-step analysis. 20 C.F.R. §§ 404.1529, 416.929;  
15 *Smolen, supra*, 80 F.3d at 1281 (citing *Cotton v. Bowen*, 799 F.2d 1403 (9th Cir. 1986)).

16 First, the ALJ must determine whether or not there is a medically determinable  
17 impairment that reasonably could be expected to cause the claimant’s symptoms. 20  
18 C.F.R. §§ 404.1529(b), 416.929(b); *Smolen, supra*, 80 F.3d at 1281-82. Once a claimant  
19 produces medical evidence of an underlying impairment, the ALJ may not discredit the  
20 claimant’s testimony as to the severity of symptoms “based solely on a lack of objective  
21 medical evidence to fully corroborate the alleged severity of pain.” *Bunnell v. Sullivan*,  
22 947 F.2d 341, 343, 346-47 (9th Cir. 1991) (*en banc*) (citing *Cotton, supra*, 799 F.2d at  
23 1407). Absent affirmative evidence that the claimant is malingering, the ALJ must  
24

1 provide specific “clear and convincing” reasons for rejecting the claimant's testimony.  
2 *Smolen, supra*, 80 F.3d at 1283-84; *Reddick, supra*, 157 F.3d at 722 (citing *Lester v.*  
3 *Chater*, 81 F.3d 821, 834 (9th Cir. 1996); *Swenson v. Sullivan*, 876 F.2d 683, 687 (9th  
4 Cir. 1989)).

5         The ALJ found that plaintiff’s “medically determinable impairments could  
6 reasonably be expected to cause some of the alleged symptoms; however, the claimant’s  
7 statements concerning the intensity, persistence and limiting effects of these symptoms  
8 are not credible to the extent they are inconsistent with the [] residual functional capacity  
9 assessment” (Tr. 32). Although plaintiff argues that the ALJ improperly determined  
10 plaintiff’s residual functional capacity (“RFC”) before assessing his credibility and  
11 testimony, it is clear from the ALJ’s discussion that this sentence simply indicates the  
12 conclusions by the ALJ that are about to be explained in the subsequent discussion (*see*  
13 *id.*).

14  
15         Based on her discussion of the facts and evidence, the ALJ relied on multiple  
16 factors when failing to credit fully plaintiff’s testimony and credibility (*see* Tr. 32-35). In  
17 part, the ALJ relied on the objective medical evidence and the treatment records of  
18 plaintiff. For example, the ALJ discussed the opinion from state agency non-examining,  
19 evaluating physician, Dr. Sharon B. Eder, M.D. (“Dr. Eder”) (Tr. 32). As indicated by the  
20 ALJ, regarding plaintiff’s physical abilities, Dr. Eder opined that plaintiff could “lift 20  
21 pounds occasionally and 10 pounds frequently, and he could stand or walk for 6 hours in  
22 an 8 hour workday and he could sit for 6 hours in an 8 hour workday” (Tr. 33 (*citing*  
23 Exhibit 8/F2, Tr. 386)). As noted by plaintiff, Dr. Eder opined that plaintiff only was  
24

1 partially credible (*see* Opening Brief, ECF No. 20, p. 7 (*citing* Tr. 390, 392)). The Court  
2 notes that Dr. Eder referenced “Dr. Dickinson comments that [plaintiff] c/o [complained  
3 of] chronic fatigue but did not show any cognitive or physical fatigue after the interview  
4 [and] that [he] drove to the exam with his wife and was going shopping with her to  
5 complete errands afterwards” (Tr. 392).

6         Reviewing the medical evidence, the ALJ also referenced that Dr. Martin B. Lahr,  
7 M.D., M.P.D. (“Dr. Lahr”) reviewed plaintiff’s medical record and affirmed the opinions  
8 of Dr. Eder, however, the ALJ incorrectly named the doctor (*see* Tr. 33 (Dr. Lahr  
9 “affirmed the opinion of Dr. Lahr”)). This is a harmless error as the record reflects clearly  
10 that Dr. Lahr affirmed the opinions of Dr. Eder (Tr. 471), and the ALJ indicated this  
11 understanding when she found in her written decision that the “opinions of Dr. Eder and  
12 Dr. Lahr are consistent with the medial record that shows the claimant does have some  
13 back problems, but that his medical treatment has been conservative and has included  
14 mainly use of pain medication” (Tr. 33). The ALJ gave significant weight to “the  
15 opinions of Dr. Eder and Dr. Lahr that the claimant can do approximately the light level  
16 of work” (*id.*).

17         The ALJ’s discussion of the objective medical evidence also included a discussion  
18 of the opinion of plaintiff’s “treating physician who dealt [with] his physical complaints,  
19 Maggie Yu, MD” (*see id.*). The ALJ noted that Dr. Yu “submitted an unsigned statement  
20 that simply read ‘Dr. Yu does not support his disability;’” that Dr. Yu was aware of  
21 plaintiff’s physical and mental symptoms; that she was in a good position to assess  
22 plaintiff’s RFC; that she did not opine any functional limitations on plaintiff’s ability to  
23  
24



1 work; and “it appears that she believes that he is able to work” (*id.*). The ALJ’s decision  
2 to give great weight to the opinion of Dr. Yu is discussed further in the context of the  
3 ALJ’s review of the medical evidence, *see supra*, section 2; but the Court notes that it  
4 provides some support for the ALJ’s failure to credit fully plaintiff’s testimony and  
5 allegations regarding his ability to work.

6 With respect to the objective medical evidence regarding plaintiff’s mental  
7 impairments and symptoms, the ALJ reviewed the opinions of examining doctor, Dr.  
8 Steven Dickinson, Psy.D. (“Dr. Dickinson”), which the ALJ gave “great weight” (Tr. 34).  
9 The ALJ noted Dr. Dickinson’s mental status examination of plaintiff and that plaintiff  
10 scored 28 out of 30 points (*id.* (*citing* Exhibit 7F/4, Tr. 381)). As indicated by the ALJ,  
11

12 Dr. Dickinson said that the claimant was able to complete all the paperwork  
13 without any assistance, and that the claimant withstood the rigors of his  
14 interview without exhibiting cognitive or physical fatigue (internal citation  
15 to Exhibit 7F/1, 7F/5). Dr. Dickinson assessed the claimant’s Global  
16 Assessment of Functioning (GAF) score at 62, indicating that the claimant  
17 had some mild symptoms or some difficulty in social, occupational or  
18 school functioning, but that he was generally functioning pretty well  
(internal citation to Exhibit 7F/6). . . . Dr. Dickinson’s opinion is  
consistent with the medical record that shows that the claimant does have  
some mental problems, but these are not very severe. His activities of daily  
living show that he is able to live alone and take care of himself. For these  
reasons, the opinion of Dr. Dickinson is given great weight.

19 (Tr. 34 (*citing* Tr. 378, 382, 383)). Dr. Dickinson also indicated his summary opinion that  
20 “the physical complaints and resulting social and occupational impairment were in excess  
21 of what would be expected given [plaintiff]’s history, physical examination, and  
22 laboratory findings” (Tr. 383). This opinion appears based on findings by Dr. Dickinson,  
23 such as that plaintiff “demonstrated a tendency to respond with ‘We’ll get to that . . . ‘  
24

1 when asked specific questions about his symptoms;” that plaintiff “reported that his  
2 attention to hygiene had declined including bathing regularly although there was no  
3 motor retardation or vegetative symptoms usually associated with this area of behavior;”  
4 that plaintiff “was unable to describe his experience of major depressive symptoms in the  
5 past in a manner similar to adults with a history of disabling depression;” and that he  
6 “reported having suicidal ideation but not in context of stressors” (*see* Tr. 381-82, 383).

7  
8 Based on a review of the relevant record, the Court concludes that the ALJ’s  
9 summary of the facts and medical evidence is supported by substantial evidence in the  
10 record as a whole. The Court also concludes that the ALJ’s discussion of the objective  
11 medical evidence provides some support for the ALJ’s failure to credit fully plaintiff’s  
12 testimony and credibility.

13 Although an ALJ may not rely solely on the objective medical evidence when  
14 failing to credit fully a claimant’s testimony, the ALJ here also relied in part on plaintiff’s  
15 activities of daily living; inconsistent reports; and unsupported allegations inconsistent  
16 with work history in order to support the ALJ’s failure to credit fully plaintiff’s  
17 allegations with respect to his residual functional capacity (“RFC”) (*see* Tr. 31-32). For  
18 example, the ALJ noted plaintiff’s testimony that “he is able to complete his activities of  
19 daily living, which include housework, vacuuming, cooking and yard work, as well as  
20 driving a car, and going to the library to use the computers and check out movies” (Tr.  
21 32). She also noted plaintiff’s testimony that he was “able to lift 40 pound bags of cat  
22 litter, and bring them from his car, although he normally purchases the 25 pound bags”  
23 (*id.*). The ALJ noted plaintiff’s testimony that he could “stand for 15 minutes, and walk  
24

1 for 20 to 30 minutes” (*id.*). Although the ALJ did not rely explicitly on plaintiff’s  
2 activities of daily living in order to make a general credibility finding, it is clear that she  
3 relied on them in order to support aspects of her determination regarding his RFC, such  
4 as that he could “lift 40 pounds occasionally and 10 pounds frequently, [] can stand or  
5 walk 15 to 20 minutes at a time,” could “perform simple and detailed tasks, and [] []  
6 engage in social interaction on an occasional to frequent basis” (*see* Tr. 31-32). This  
7 reliance was proper.

8  
9 In addition, the ALJ also found that plaintiff’s “allegations that he has severe OCD  
10 are not entirely credible” (*see* Tr. 32). The ALJ noted that plaintiff testified that he  
11 suffered from OCD symptoms while he was working and that they created a challenge for  
12 him (*id.*). At his administrative hearing, plaintiff testified that his OCD affected his work  
13 “greatly in terms of with my work there is a tremendous amount of case notes, record  
14 keeping, you know, forms to, to fill out. So that was a real challenge getting through  
15 those, and always was lagging behind on, on, on those tasks” (Tr. 80). Plaintiff explained:

16 Well, say in doing case notes, I would do the same thing. You know, it’s  
17 almost like a perfectionism to get the - - get it to sound right, and then to  
18 read it over and over again so it was okay in my mind that I had done it  
19 well. And we’d also have a lot of what we called instant reports we’d get  
20 in from people that I worked with. And then that whole reading thing,  
the same thing, reading it word for word making sure I didn’t miss  
anything. So it was a very slow process for me.

21 (Tr. 80).

22 In her written decision, the ALJ indicated that plaintiff’s allegations that his OCD  
23 symptoms were severe were not credible, because he testified that they presented  
24 challenges for his work as a mental health services coordinator, yet he “was able to work

1 for 19 years before he quit, and he has not submitted any evidence to show that his boss  
2 was concerned about the claimant's work performance, nor has he submitted any  
3 objective evidence to explain why he has had a worsening of symptoms" (*see* Tr. 33). It  
4 is clear from the ALJ's written decision that the ALJ found an inconsistency between  
5 plaintiff's successful long-term work history, and his allegations and testimony of severe  
6 psychological symptoms concurrent with the time frame of his work history. The ALJ  
7 also found an inconsistency between the different reports by plaintiff, noting that for one  
8 treatment record, plaintiff failed to report any OCD symptoms (*see* Tr. 33 (*citing*  
9 treatment report of Dr. Steven Dickinson, Psy.D. ("Dr. Dickinson")))).  
10

11 The Court concludes that the ALJ provided numerous valid reasons for her RFC  
12 and her failure to credit fully plaintiff's allegations and testimony, including lack of  
13 support from and contradiction with the objective medical record; inconsistent reporting  
14 regarding allegedly disabling symptoms; inconsistency with plaintiff's work history; and  
15 plaintiff's activities of daily living. Based on a review of the relevant record, the Court  
16 concludes that the ALJ provided clear and convincing reasons for her failure to credit  
17 fully plaintiff's credibility and testimony. *See also Smolen, supra*, 80 F.3d at 1283-84;  
18 *Reddick, supra*, 157 F.3d at 722.  
19

## 20 2. The ALJ evaluated the medical evidence properly.

21 The ALJ is responsible for determining credibility and resolving ambiguities and  
22 conflicts in the medical evidence. *Reddick v. Chater*, 157 F.3d 715, 722 (9th Cir. 1998);  
23 *Andrews v. Shalala*, 53 F.3d 1035, 1043 (9th Cir. 1995). If the medical evidence in the  
24

1 record is not conclusive, sole responsibility for resolving conflicting testimony and  
2 questions of credibility lies with the ALJ. *Sample v. Schweiker*, 694 F.2d 639, 642 (9th  
3 Cir. 1999) (quoting *Waters v. Gardner*, 452 F.2d 855, 858 n.7 (9th Cir. 1971) (citing  
4 *Calhoun v. Bailer*, 626 F.2d 145, 150 (9th Cir. 1980))). It is not the job of the court to  
5 reweigh the evidence: If the evidence “is susceptible to more than one rational  
6 interpretation,” including one that supports the decision of the Commissioner, the  
7 Commissioner's conclusion “must be upheld.” *Thomas v. Barnhart*, 278 F.3d 947, 954  
8 (9th Cir. 2002) (citing *Morgan v. Comm’r of Soc. Sec. Admin.*, 169 F.3d 595, 599, 601  
9 (9th Cir. 1999)). Determining whether or not inconsistencies in the medical evidence “are  
10 material (or are in fact inconsistencies at all) and whether certain factors are relevant to  
11 discount” the opinions of medical experts “falls within this responsibility.” *Morgan*,  
12 *supra*, 169 F.3d at 603. The ALJ also may draw inferences “logically flowing from the  
13 evidence.” *Sample, supra*, 694 F.2d at 642 (citations omitted).

15 “A treating physician’s medical opinion as to the nature and severity of an  
16 individual’s impairment must be given controlling weight if that opinion is well-  
17 supported and not inconsistent with the other substantial evidence in the case record.”  
18 *Edlund v. Massanari*, 2001 Cal. Daily Op. Srv. 6849, 2001 U.S. App. LEXIS 17960 at  
19 \*14 (9th Cir. 2001) (citing SSR 96-2p, 1996 SSR LEXIS 9); *see also* 20 C.F.R. §  
20 416.902 (treating physician is one who provides treatment and has “ongoing treatment  
21 relationship” with claimant). However, “[t]he ALJ may disregard the treating physician’s  
22 opinion whether or not that opinion is contradicted.” *Batson v. Commissioner of Social*  
23 *Security Administration*, 359 F.3d 1190, 1195 (9th Cir. 2004) (quoting *Magallanes v.*  
24

1 *Bowen*, 881 F.2d 747, 751 (9th Cir. 1989)). In addition, “[a] physician’s opinion of  
 2 disability ‘premised to a large extent upon [plaintiff]’s own accounts of h[er] symptoms  
 3 and limitations’ may be disregarded where those complaints have been ‘properly  
 4 discounted.’” *Morgan, supra*, 169 F.3d at 602 (*quoting Fair v. Bowen*, 885 F.2d 597, 605  
 5 (9th Cir. 1989) (*citing Brawner v. Sec. HHS*, 839 F.2d 432, 433-34 (9th Cir. 1988))).

6         The ALJ must provide “clear and convincing” reasons for rejecting the  
 7 uncontradicted opinion of either a treating or examining physician or psychologist.  
 8 *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1996) (*citing Baxter v. Sullivan*, 923 F.2d  
 9 1391, 1396 (9th Cir. 1991); *Pitzer v. Sullivan*, 908 F.2d 502, 506 (9th Cir. 1990)). Even if  
 10 a treating or examining physician’s opinion is contradicted, that opinion “can only be  
 11 rejected for specific and legitimate reasons that are supported by substantial evidence in  
 12 the record.” *Lester, supra*, 81 F.3d at 830-31 (*citing Andrews v. Shalala*, 53 F.3d 1035,  
 13 1043 (9th Cir. 1995)). The ALJ can accomplish this by “setting out a detailed and  
 14 thorough summary of the facts and conflicting clinical evidence, stating his interpretation  
 15 thereof, and making findings.” *Reddick, supra*, 157 F.3d at 725 (*citing Magallanes v.*  
 16 *Bowen*, 881 F.2d 747, 751 (9th Cir. 1989)).

17         In addition, the ALJ must explain why her own interpretations, rather than those of  
 18 the doctors, are correct. *Reddick, supra*, 157 F.3d at 725 (*citing Embrey v. Bowen*, 849  
 19 F.2d 418, 421-22 (9th Cir. 1988)). However, the ALJ “need not discuss *all* evidence  
 20 presented.” *Vincent on Behalf of Vincent v. Heckler*, 739 F.2d 1393, 1394-95 (9th Cir.  
 21 1984) (*per curiam*). The ALJ must only explain why “significant probative evidence has  
 22 been rejected.” *Id. (quoting Cotter v. Harris*, 642 F.2d 700, 706-07 (3d Cir. 1981)).  
 23  
 24

1 In general, more weight is given to a treating medical source's opinion than to the  
2 opinions of those who do not treat the claimant. *Lester, supra*, 81 F.3d at 830 (*citing*  
3 *Winans v. Bowen*, 853 F.2d 643, 647 (9th Cir. 1987)). On the other hand, an ALJ need  
4 not accept the opinion of a treating physician, if that opinion is brief, conclusory and  
5 inadequately supported by clinical findings or by the record as a whole. *Batson v.*  
6 *Commissioner of Social Security Administration*, 359 F.3d 1190, 1195 (9th Cir. 2004)  
7 (*citing Tonapetyan v. Halter*, 242 F.3d 1144, 1149 (9th Cir. 2001)); *see also Thomas v.*  
8 *Barnhart*, 278 F.3d 947, 957 (9th Cir. 2002). An examining physician's opinion is  
9 "entitled to greater weight than the opinion of a nonexamining physician." *Lester, supra*,  
10 81 F.3d at 830 (citations omitted); *see also* 20 C.F.R. § 404.1527(d). A non-examining  
11 physician's or psychologist's opinion may not constitute substantial evidence by itself  
12 sufficient to justify the rejection of an opinion by an examining physician or  
13 psychologist. *Lester, supra*, 81 F.3d at 831 (citations omitted). However, "it may  
14 constitute substantial evidence when it is consistent with other independent evidence in  
15 the record." *Tonapetyan, supra*, 242 F.3d at 1149 (*citing Magallanes, supra*, 881 F.2d at  
16 752). "In order to discount the opinion of an examining physician in favor of the opinion  
17 of a nonexamining medical advisor, the ALJ must set forth specific, *legitimate* reasons  
18 that are supported by substantial evidence in the record." *Van Nguyen v. Chater*, 100 F.3d  
19 1462, 1466 (9th Cir. 1996) (*citing Lester, supra*, 81 F.3d at 831); *see also* 20 C.F.R. §  
20 404.1527(d)(2)(i) (when considering medical opinion evidence, the Commissioner will  
21 consider the length and extent of the treatment relationship). The ALJ "may reject the  
22 opinion of a non-examining physician by reference to specific evidence in the medical  
23  
24

1 record.” *Sousa v. Callahan*, 143 F.3d 1240, 1244 (9th Cir. 1998) (*citing Gomez v.*  
 2 *Chater*, 74 F.3d 967, 972 (9th Cir. 1996)); *Andrews, supra*, 53 F.3d at 1041).

3 a. Dr. Maggie Yu, M.D. (“Dr. Yu”), treating physician

4 Dr. Yu was plaintiff’s treating physician for his physical complaints from  
 5 December, 2006 through November, 2007 (*see* Opening Brief, ECF No. 20, p. 4). The  
 6 ALJ discussed the treatment relationship of Dr. Yu with plaintiff, and noted that Dr. Yu  
 7 “submitted an unsigned statement that simply read ‘Dr. Yu does not support his  
 8 disability’” (Tr. 33 (*citing* Exhibit 29F/1, *i.e.*, Tr. 632)). The ALJ noted that Dr. Yu was  
 9 aware of plaintiff’s physical and mental symptoms, and indicated that Dr. Yu was “in a  
 10 good position to assess the claimant’s residual functional capacity” (*see* Tr. 33). The ALJ  
 11 further explained her determination to give great weight to Dr. Yu’s opinion as follows:  
 12

13 Because Dr. Yu did not opine any limitations, and apparently provided  
 14 the statement that she does not support finding claimant disabled, it  
 15 appears that she believes that he is able to work. Dr. Yu’s opinion is  
 16 consistent with the medical record that shows the claimant has had  
 conservative treatment for his physical symptoms, and it is consistent  
 with the claimant’s own description of his activities of daily living,  
 which includes the ability to lift 40 pounds.

17 (Tr. 33).

18 The Court concludes that the ALJ’s inference that Dr. Yu believed that plaintiff  
 19 was able to work is a finding supported by substantial evidence in the record as a whole.  
 20 *See Magallanes, supra*, 881 F.2d at 750. Plaintiff contends that the ALJ improperly failed  
 21 to discuss evidence, such as Dr. Yu’s opinion that plaintiff posed a “complex picture”  
 22 (*see* Opening Brief, ECF No. 20, p. 13). However, plaintiff’s discussion of the important  
 23 details of Dr. Yu’s treatment record entails many of plaintiff’s subjective reports and does  
 24



1 not include any opinion from Dr. Yu that plaintiff suffered from any particular limitation  
 2 on his ability to work. For these reasons and based on a review of the relevant record, the  
 3 Court concludes that the ALJ did not fail to discuss any significant probative evidence  
 4 and did not commit harmful error in the evaluation of the opinions of Dr. Yu. *See*  
 5 *Vincent, supra*, 739 F.2d at 1394-95 (*quoting Cotter, supra*, 642 F.2d at 706-07).  
 6 Plaintiff's argument that the ALJ committed legal error by failing to discuss significant  
 7 evidence and by ignoring "evidentiary tone and content" of Dr. Yu's treatment records  
 8 and other medical evidence is not persuasive.

9  
 10 b. Dr. Elizabeth Forbes, Psy.D. ("Dr. Forbes") and Dr. Roy Schlegel, M.D. ("Dr.  
 11 Schlegel"), treating doctors

12 The ALJ discussed the opinions of Drs. Forbes and Schlegel (*see* Tr. 34-35). The  
 13 ALJ noted that both doctors "opined that based on the claimant's OCD, he is unable to  
 14 work" (Tr. 34 (*citing* Exhibit 27F/1, 28F/4)). The ALJ found that these opinions appeared  
 15 to have been "based solely on the claimant's subjective complaint of OCD symptoms,  
 16 and as discussed above, the claimant is not entirely credible in his OCD complaints" (*see*  
 17 Tr. 35); *see also supra*, section 1. The Court already has discussed the findings by the  
 18 ALJ regarding plaintiff's inconsistent reports of OCD symptoms and regarding plaintiff's  
 19 successful maintenance of full time employment for almost two decades while  
 20 concurrently suffering from allegedly severe OCD symptoms, *see supra*, section 1 (*see*  
 21 *also* Tr. 33). In addition, based on a review of the relevant record, the Court finds that the  
 22 ALJ's finding that Drs. Forbes and Schlegel based their opinions solely on plaintiff's  
 23

1 subjective reports is supported by substantial evidence in the record as a whole, as  
2 discussed further below.

3 First, the Court notes that Dr. Schlegel's February 25, 2010 opinion included his  
4 explicit indications that he was relying on plaintiff's self-reports (*see* Tr. 623-24). For  
5 example, in response to the question regarding what symptoms the plaintiff suffered  
6 from, Dr. Schlegel indicated that plaintiff "has reported a life-time inability to complete  
7 tasks, clean up small details on big projects, remain organized and remain on-task at  
8 work" (Tr. 623). Similarly, when asked to indicate what effect plaintiff's mental health  
9 symptoms had on his ability to accomplish work-related tasks, Dr. Schlegel indicated that  
10 plaintiff had "reported to [him] that he cannot perform the functions and duties of his  
11 previous work" (Tr. 624).

13 Dr. Forbes likewise included the self-reported symptoms of plaintiff when  
14 providing her February 18, 2010 opinion (*see* Tr. 625-31). For example, she indicated  
15 that plaintiff "reported that he has many rituals that he performs daily" (Tr. 625). Dr.  
16 Forbes then listed plaintiff's report of how long it takes him to perform daily activities,  
17 such as 30 minutes to shower; 30-40 minutes to shave; 30-45 minutes for the "starting-  
18 the-day-routine"; and 30-60 minutes to leave the house (Tr. 625-26). Dr. Forbes included  
19 her opinion that plaintiff's "obsessions and compulsions are so severe that he is unable to  
20 perform any series of tasks up to the standards of an employer" (Tr. 628). The ALJ made  
21 the logical inference that Dr. Forbes' opinion regarding plaintiff's ability to work, or his  
22 inability to work, was based on plaintiff's self-reported descriptions of his routines and  
23 obsessive-compulsive tendencies (*see* Tr. 625-28).  
24

1 In addition to finding that Drs. Schlegel and Forbes relied on plaintiff's unreliable  
2 self-reports, the ALJ provided an additional reason for her failure to credit fully the  
3 opinions of Drs. Schlegel and Forbes. The ALJ also indicated that she was giving greater  
4 weight to the opinion of Dr. Dickinson "because he conducted objective tests and was  
5 thorough in his evaluation" (*see* Tr. 25). The Court already has discussed the opinion of  
6 Dr. Dickinson, including Dr. Dickinson's mental status examination ("MSE") of plaintiff  
7 and that plaintiff scored 28 out of 30 points on his MSE, *see supra*, section 1. The Court  
8 also quoted the discussion by the ALJ, including the ALJ's findings regarding Dr.  
9 Dickinson's assessment of plaintiff's "Global Assessment of Functioning (GAF) score at  
10 62, indicating that the claimant had some mild symptoms or some difficulty in social,  
11 occupational or school functioning, but that he was generally functioning pretty well"  
12 (Tr. 34 (*citing* Exhibit 7F/6, Tr. 383)). Dr. Dickinson's MSE revealed a number of areas  
13 in which plaintiff demonstrated intact functioning, (Tr. 381-82). For example, Dr.  
14 Dickinson indicated that plaintiff "demonstrated intact memory functioning in most areas  
15 except for being able to recall with consistency what activities he had done two days  
16 before and/or what meals he had eaten during the past week" (Tr. 381). Dr. Dickinson  
17 also indicated that "the only points he missed were for recalling 2-out-of-3 words after  
18 three minutes (-1 point), and for missing  $5 \times 13 = 65$  as he concluded it was 78" (*id.*).  
19

20  
21 Based on a review of the relevant record, the Court concludes that the ALJ's  
22 review of the medical evidence by acceptable medical sources was proper. The ALJ's  
23 findings that Drs. Forbes and Schlegel relied on plaintiff's self-reports and that those self-  
24 reports were not reliable entirely both are findings based on substantial evidence in the

1 record as a whole. *See Magallanes, supra*, 881 F.2d at 750. In addition, the ALJ's  
2 decision to give greater weight to the opinion of examining doctor, Dr. Dickinson, than to  
3 the opinions of treating doctors, Drs. Forbes and Schlegel, on the basis of the reliance of  
4 Drs. Forbes and Schlegel on plaintiff's unreliable self-reports; and on the basis of the  
5 thoroughness of Dr. Dickinson's evaluation and his conduction of objective tests was a  
6 proper decision based on specific and legitimate reasons. *See Lester, supra*, 81 F.3d at  
7 830-31; *Morgan, supra*, 169 F.3d at 602.

8  
9 c. Ms. Sherry Davidson, RN, PMHNP, ("Nurse Davidson")

10 Plaintiff argues that the ALJ did not evaluate properly the opinion by Ms. Sherry  
11 Davidson, RN, PMHNP, ("Nurse Davidson") (*see* Opening Brief, ECF No. 20, pp. 17-  
12 19). Plaintiff fails to cite the appropriate standard of review for the ALJ's treatment of  
13 such other medical evidence. Instead, plaintiff argues that the ALJ "went on to reject Ms.  
14 Davidson's evidence for four reasons, none of them clear and convincing" (*id.* at 17).  
15 However, plaintiff has not cited any case law or relevant regulation that requires an ALJ  
16 to provide clear and convincing reasons to discount the contradicted opinion of a lay  
17 source.

18 Pursuant to the relevant federal regulations, in addition to "acceptable medical  
19 sources," that is, sources "who can provide evidence to establish an impairment," *see* 20  
20 C.F.R. § 404.1513 (a), there are "other sources," such as friends and family members,  
21 who are defined as "other non-medical sources," *see* 20 C.F.R. § 404.1513 (d)(4), and  
22 "other sources" such as nurse practitioners and chiropractors, who are considered other  
23 medical sources, *see* 20 C.F.R. § 404.1513 (d)(1). *See also Turner v. Comm'r of Soc.*  
24

1 | *Sec.*, 613 F.3d 1217, 1223-24 (9th Cir. 2010) (*citing* 20 C.F.R. § 404.1513(a), (d)); Social  
2 | Security Ruling “SSR” 06-3p, 2006 SSR LEXIS 5, 2006 WL 2329939. An ALJ may  
3 | disregard opinion evidence provided by “other sources,” characterized by the Ninth  
4 | Circuit as lay testimony, “if the ALJ ‘gives reasons germane to each witness for doing  
5 | so.’” *Turner, supra*, 613 F.3d at 1224 (*citing Lewis v. Apfel*, 236 F.3d 503, 511 (9th Cir.  
6 | 2001)); *see also Van Nguyen v. Chater*, 100 F.3d 1462, 1467 (9th Cir. 1996). This is  
7 | because in determining whether or not “a claimant is disabled, an ALJ must consider lay  
8 | witness testimony concerning a claimant's ability to work.” *Stout v. Commissioner*,  
9 | *Social Security Administration*, 454 F.3d 1050, 1053 (9th Cir. 2006) (*citing Dodrill v.*  
10 | *Shalala*, 12 F.3d 915, 919 (9th Cir. 1993)).

12 |       However, “only ‘acceptable medical sources’ can [provide] medical opinions  
13 | [and] only ‘acceptable medical sources’ can be considered treating sources. *See* 2006  
14 | SSR LEXIS 5 at \*3-\*4 (internal citations omitted). Nevertheless, evidence from other  
15 | sources, that is, lay evidence, can demonstrate “the severity of the individual’s  
16 | impairments(s) and how it affects the individual’s ability to function.” *Id.* at \*4. The  
17 | Social Security Administration has recognized that with “the growth of managed health  
18 | care in recent years and the emphasis on containing medical costs, medical sources who  
19 | are not ‘acceptable medical sources,’ . . . have increasingly assumed a greater  
20 | percentage of the treatment and evaluation functions previously handled primarily by  
21 | physicians and psychologists.” *Id.* at \*8. Therefore, according to the Social Security  
22 | Administration, opinions from other medical sources, “who are not technically deemed  
23 |

1 ‘acceptable medical sources’ under our rules, are important and should be evaluated on  
2 key issues such as impairment severity and functional effects.” *Id.*

3 Relevant factors when determining the weight to be given to other medical sources  
4 include:

5 How long the source has known and how frequently the source has seen  
6 the individual; How consistent the opinion is with other evidence; The  
7 degree to which the source presents relevant evidence to support an  
8 opinion; How well the source explains the opinion; Whether [or not] the  
9 source has a specialty or area of expertise related to the individuals’  
10 impairments(s), and Any other factors that tend to support or refute the  
11 opinion.

12 *Id.* at \*11. In addition, the fact “that a medical opinion is from an ‘acceptable medical  
13 source’ is a factor that may justify giving that opinion greater weight than an opinion  
14 from a medical source who is not an ‘acceptable medical source’ because . . .  
15 ‘acceptable medical sources’ ‘are the most qualified health care professionals.” *Id.* at \*12.  
16 However, at noted by plaintiff here, “depending on the particular facts in a case, and after  
17 applying the factors for weighing opinion evidence, an opinion from a medial source who  
18 is not an ‘acceptable medical source’ may outweigh the opinion of an ‘acceptable  
19 medical source,’ including the medical opinion of a treating source.” *Id.* at \*12-\*13.

20 The ALJ included the following discussion regarding the opinion from other  
21 medical source, Nurse Davidson, in her written decision:

22 The claimant’s therapist Sherry Davidson, RN, PMHNP opined that the  
23 claimant was ‘unable to cope successfully with very basic situations’ and  
24 that the claimant was unable to work (internal citation to Ex. 24F/1, Ex.  
24F/4, Ex. 24F/7). Ms. Davidson noted that she took her notes in  
shorthand, but she only transcribed them ‘where I thought it was helpful’  
(internal citation to Ex. 26F/2). She also stated ‘to Xerox chart notes  
would take considerable time, which I don’t have’ and ‘therefore, I am

1 sending what I believe is helpful' (internal citation to Ex. 26F/5).  
2 Because Ms. Davidson did not submit all of her treatment notes, it is  
3 unclear what she based her opinion on, but from what notes she did  
4 submit, Ms. Davidson's opinion regarding the claimant's mental  
5 functioning appears to be based primarily on the claimant's subjective  
6 reporting. It is noted that her opinion significantly differs from the  
7 opinion of Dr. Dickinson, who based his opinion on objective testing and  
8 a clinical interview. For these reasons, Ms. Davidson's opinion is given  
9 little weight. Another reason to give her limited weight is that she is not  
10 an acceptable medical source under the Social Security Administration's  
11 regulations. Dr. Dickinson has more expertise than Ms. Davidson and he  
12 is entitled to more weight.

13 (Tr. 34).

14 Although the ALJ fails to indicate explicitly that an opinion from another medical  
15 source, or a lay opinion, in some circumstances can be entitled to greater weight than the  
16 opinion of an acceptable medical source, there is no error as the record in this matter does  
17 not demonstrate conclusively that such circumstances are present here. The relevant  
18 Social Security Regulation indicating that a lay medical opinion may be entitled to  
19 greater weight also indicates "that a medical opinion is from an 'acceptable medical  
20 source' is a factor that may justify giving that opinion greater weight than an opinion  
21 from a medical source who is not an 'acceptable medical source' because . . .  
22 'acceptable medical sources' 'are the most qualified health care professionals.'" *See* 2006  
23 SSR LEXIS 5 at \*12. The ALJ here did exactly that, noting that Nurse Davidson's  
24 "opinion significantly differs from the opinion of Dr. Dickinson, who based his opinion  
on objective testing and a clinical interview" (*see* Tr. 34).

25 The Court already has discussed the objective testing and the MSE by Dr.  
Dickinson, *see supra*, sections 1, 2.b. The Court also notes that although plaintiff faults

1 the ALJ for using the lack of a complete treatment record from Nurse Davidson as  
2 support for her failure to credit Nurse Davidson's opinion fully, the ALJ appears to  
3 discuss this fact because "The degree to which the source presents relevant evidence to  
4 support an opinion; [and] How well the source explains the opinion" both are relevant  
5 factors when determining the amount of weight to give to an opinion from another  
6 medical source. *See* 2006 SSR LEXIS 5 at \*11. In addition, the ALJ explicitly indicates  
7 that this factor made unclear the basis for Nurse Davidson's opinion (*see* Tr. 34). The fact  
8 that Nurse Davidson's notes include shorthand; and include her statements that she was  
9 transcribing notes only "where [she] thought it was helpful" and that she was not  
10 Xeroxing all of the treatment record are findings supported by substantial evidence in the  
11 record as a whole (*see* Tr. 579, 582). The ALJ also found that the treatment notes that  
12 were provided by Nurse Davidson indicated reliance on plaintiff's subjective report.  
13 Although this factor does not support an ALJ's decision to fail to credit fully an opinion  
14 where plaintiff's credibility is credited fully, it provides support for the ALJ's decision  
15 here, where the ALJ has determined without harmful legal error that plaintiff's subjective  
16 reports regarding the severity of his symptoms and impairments are not credible entirely,  
17 *see supra*, section 1.

18  
19 The ALJ also explicitly contrasts Nurse Davidson's opinion with Dr. Dickinson's  
20 opinion and emphasizes the objective medical evidence provided by Dr. Dickinson in  
21 support of his opinion (*see* Tr. 34). Based on the relevant record, the Court concludes that  
22 the ALJ did not fail to discuss any significant, probative evidence in the discussion of the  
23 medical evidence and the other medical evidence. In addition, the Court concludes that  
24



1 the ALJ provided not only germane reasons, as required, but at least specific and  
 2 legitimate reasons for her decision to fail to credit fully the opinions of Nurse Davidson.

3  
 4 **3. The ALJ evaluated properly the issue of whether or not plaintiff's chronic**  
 5 **pain syndrome was a severe impairment.**

6 Step-two of the administration's evaluation process requires the ALJ to determine  
 7 whether or not the claimant "has a medically severe impairment or combination of  
 8 impairments." *Smolen v. Chater*, 80 F.3d 1273, 1290 (9th Cir. 1996) (citation omitted);  
 9 20 C.F.R. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii) (1996). The "step-two determination of  
 10 whether a disability is severe is merely a threshold determination of whether the claimant  
 11 is able to perform his past work." *Hoopai v. Astrue*, 499 F.3d 1071, 1076 (9th Cir. 2007).

12 An impairment is "not severe" if it does not "significantly limit" the ability to  
 13 conduct basic work activities. 20 C.F.R. §§ 404.1521(a), 416.921(a). Basic work  
 14 activities are "abilities and aptitudes necessary to do most jobs," including, for example,  
 15 "walking, standing, sitting, lifting, pushing, pulling, reaching, carrying or handling;  
 16 capacities for seeing, hearing and speaking; understanding, carrying out, and  
 17 remembering simple instructions; use of judgment; responding appropriately to  
 18 supervision, co-workers and usual work situations; and dealing with changes in a routine  
 19 work setting." 20 C.F.R. § 404.1521(b). "An impairment or combination of impairments  
 20 can be found 'not severe' only if the evidence establishes a slight abnormality that has  
 21 'no more than a minimal effect on an individual[']s ability to work.'" *Smolen, supra*, 80  
 22  
 23  
 24

1 F.3d at 1290 (*quoting Yuckert v. Bowen*, 841 F.2d 303, 306 (9th Cir. 1988) (*adopting*  
 2 Social Security Ruling “SSR” 85-28)).

3 According to Social Security Ruling 96-3b, “[a] determination that an individual’s  
 4 impairment(s) is not severe requires a careful evaluation of the medical findings that  
 5 describe the impairment(s) (*i.e.*, the objective medical evidence and any impairment-  
 6 related symptoms), and an informed judgment about the limitations and restrictions the  
 7 impairments(s) and related symptom(s) impose on the individual’s physical and mental  
 8 ability to do basic work activities.” SSR 96-3p, 1996 SSR LEXIS 10 at \*4-\*5 (*citing SSR*  
 9 96-7p); *see also Slayman v. Astrue*, 2009 U.S. Dist. LEXIS 125323 at \*33-\*34 (W.D.  
 10 Wa. 2009). If a claimant’s impairments are “not severe enough to limit significantly the  
 11 claimant’s ability to perform most jobs, by definition the impairment does not prevent the  
 12 claimant from engaging in any substantial gainful activity.” *Bowen, supra*, 482 U.S. at  
 13 146.

15 Plaintiff bears the burden to establish by a preponderance of the evidence the  
 16 existence of a severe impairment that prevented performance of substantial gainful  
 17 activity and that this impairment lasted for at least twelve continuous months. 20 C.F.R.  
 18 §§ 404.1505(a), 404.1512, 416.905, 416.1453(a), 416.912(a); *Bowen, supra*, 482 U.S. at  
 19 146; *see also Tidwell v. Apfel*, 161 F.3d 599, 601 (9th Cir. 1998) (*citing Roberts v.*  
 20 *Shalala*, 66 F.3d 179, 182 (9th Cir. 1995)). It is the claimant’s burden to ““furnish[] such  
 21 medical and other evidence of the existence thereof as the Secretary may require.””  
 22 *Bowen, supra*, 482 U.S. at 146 (*quoting* 42 U.S.C. § 423(d)(5)(A)) (*citing Mathews v.*  
 23 *Eldridge*, 424 U.S. 319, 336 (1976)); *see also McCullen v. Apfel*, 2000 U.S. Dist. LEXIS  
 24

1 19994 at \*21 (E.D. Penn. 2000) (*citing* 42 U.S.C. § 405(g); 20 C.F.R. §§ 404.1505,  
2 404.1520).

3 Plaintiff contends that the ALJ erred by failing to find that his alleged chronic pain  
4 syndrome was a severe impairment. However, plaintiff has directed the Court's attention  
5 only to evidence that plaintiff has received a diagnosis of chronic pain syndrome and has  
6 often complained of pain.

7 A finding that an impairment is severe requires more than a diagnosis and  
8 evidence of subjective complaints of pain. It is a claimant's burden to demonstrate that  
9 the alleged impairment was "severe enough to limit significantly the claimant's ability to  
10 perform most jobs." *See Bowen, supra*, 482 U.S. at 146. Even if a claimant "has an  
11 ailment reasonably expected to produce *some* pain; many medical conditions produce  
12 pain not severe enough to preclude gainful employment." *See Fair, supra*, 885 F.2d at  
13 603. Plaintiff has not directed the Court to any evidence that demonstrates that his alleged  
14 chronic pain syndrome significantly limited his ability to perform most jobs, and hence,  
15 "by definition the impairment does not prevent the claimant from engaging in any  
16 substantial gainful activity." *See id.*

17 Plaintiff has not demonstrated significant limitation suffered as a result of his  
18 chronic pain syndrome ("CPS"), but even if this Court were to conclude that the ALJ  
19 erred in failing to find that plaintiff's alleged CPS was a severe impairment, it still would  
20 be harmless error. The step two finding is only a threshold finding, therefore if a claimant  
21 has any particular severe impairment, his application will proceed to step three of the  
22 sequential disability evaluation process. Therefore, in order for the ALJ's failure to  
23  
24

1 consider plaintiff's CPS to be a severe impairment to constitute a harmful error, plaintiff  
2 needs to show that such failure affected the ultimate determination regarding disability.  
3 *See Molina, supra*, 2012 U.S. App. LEXIS 6570 at \*24-\*26, \*32-\*36, \*45-\*46; *see also*  
4 28 U.S.C. § 2111; *Shinsheki v. Sanders*, 556 U.S. 396, 407 (2009); *Stout, supra*, 454 F.3d  
5 at 1054-55. Plaintiff did not demonstrate as such.

6 Based on the relevant record and for the reasons discussed, the Court concludes  
7 that the ALJ's failure to find that plaintiff's alleged chronic pain syndrome was a severe  
8 impairment is not harmful error.  
9

10 **4. The ALJ evaluated properly plaintiff's residual functional capacity ("RFC")**  
11 **and the remainder of the sequential disability evaluation process.**

12 The challenges by plaintiff to the ALJ's determination regarding his RFC mainly  
13 repeat the challenges made to the medical evidence already discussed, *see supra*, section  
14 2. Plaintiff also specifically raises in this context the ALJ's evaluation of the opinion of  
15 Dr. Frank Lahman, Ph.D. ("Dr. Lahman") regarding concentration, persistence and pace  
16 and limitation to simple, multi-step tasks (*see* Opening Brief, ECF No. 20, p. 20 (*citing*  
17 Tr. 403, 407, 409)).  
18

19 The ALJ discussed the evaluation of reviewing medical consultant, Dr. Lahman  
20 (*see* Tr. 34). The ALJ noted his opinion that plaintiff "had a moderate limitation in his  
21 ability to maintain attention and concentration for extended periods, but that the claimant  
22 was able to consistently maintain concentration, persistence and pace for simple, multi-  
23 step tasks for normal work periods" (Tr. 34). The ALJ gave the opinion by Dr. Lahman  
24

1 little weight (*id.*). She indicated that the specific opinion by Dr. Lahman that plaintiff  
2 was “limited to simple tasks [is] not consistent with the medical record that shows the  
3 claimant is able to live alone, care for himself, and attend medical appointments, and also  
4 [is] inconsistent with his testimony that he is able to use the internet for online banking  
5 and watch movies he checks out from the library” (*id.*).

6 Based on a review of the relevant record, the Court concludes that the ALJ’s  
7 findings regarding the opinions of Dr. Lahman are based on substantial evidence in the  
8 record as a whole. *See Magallanes, supra*, 881 F.2d at 750. The ALJ “may reject the  
9 opinion of a non-examining physician by reference to specific evidence in the medical  
10 record.” *Sousa v. Callahan*, 143 F.3d 1240, 1244 (9th Cir. 1998) (*citing Gomez v.*  
11 *Chater*, 74 F.3d 967, 972 (9th Cir. 1996)); *Andrews, supra*, 53 F.3d at 1041). The Court  
12 concludes that the ALJ appropriately rejected the opinion of non-examining doctor, Dr.  
13 Lahman, by referring to specific evidence in the medical record that was not consistent  
14 with Dr. Lahman’s opinions. *See Sousa, supra*, 143 F.3d at 1244. The remainder of the  
15 ALJ’s decision relies on findings already found to be proper by the Court.  
16

### 17 CONCLUSION

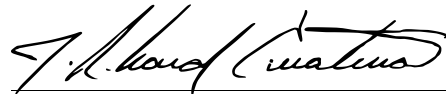
18 The ALJ provided a thorough evaluation of the facts and evidence and  
19 appropriately explained her findings and conclusions. The ALJ’s findings are without  
20 harmful legal error and are based on substantial evidence in the record as a whole.  
21

22 Based on these reasons and the relevant record, the undersigned recommends that  
23 this matter be **AFFIRMED** pursuant to sentence four of 42 U.S.C. § 405(g) to the  
24

1 Commissioner for further consideration. **JUDGMENT** should be for **DEFENDANT**  
2 and the case should be closed.

3 Pursuant to 28 U.S.C. § 636(b)(1) and Fed. R. Civ. P. 72(b), the parties shall have  
4 fourteen (14) days from service of this Report to file written objections. *See also* Fed. R.  
5 Civ. P. 6. Failure to file objections will result in a waiver of those objections for  
6 purposes of de novo review by the district judge. *See* 28 U.S.C. § 636(b)(1)(C).  
7 Accommodating the time limit imposed by Rule 72(b), the clerk is directed to set the  
8 matter for consideration on November 23, 2012, as noted in the caption.  
9

10 Dated this 1<sup>st</sup> day of November, 2012.

11  
12 

13 J. Richard Creatura  
14 United States Magistrate Judge  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24